

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-7076

SERIAL NO.: 09/122,431

APPLICANT: Brian J. Brown et al.

FILING DATE: July 24, 1998

GROUP: 3738

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	5,549,663	8/27/96	Cottone, Jr.			
	AB	5,527,354	6/18/96	Fontaine et al.			
	AC	5,545,210	8/13/96	Hess et al.			
	AD	4,665,906	5/19/87	Jervis			
	AE	5,591,197	1/7/97	Orth et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
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	BC							
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	BE							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER Paul Prebilic

DATE CONSIDERED 12-14-01

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAM'S UNIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PBP	AA	5,071,407	12/10/91	Termin et al.			
	AB	5,089,005	2/18/92	Harada			
	AC	5,356,423	10/18/94	Tibon et al.			
	AD	5,554,181	9/10/96	Das			
	AE	5,630,829	5/20/97	Lauterjung			
	AF	5,707,386	1/13/98	Schnepp-Pesch et al.			
	AG	5,643,312	7/1/97	Fischell et al.			
✓	AH	5,653,727	8/5/97	Wiktor			
	AI						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
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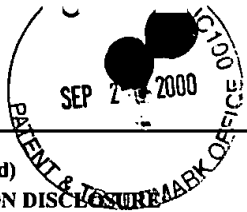
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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XAMINER Paul Prebilio

DATE CONSIDERED 12-14-01

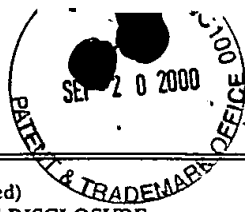
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FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTY DOCKET NO: S63.2-9216		Serial No: 09/599,674	
				APPLICANT: Brown et al.			
				FILING DATE: June 22, 2000		Group: 3738	
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>PBP</i>	AA	5,913,895	6/22/99	Burpee et al.	623	1	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUBLICA TION DATE	COUNTRY CODE	CLASS	SUBCLASS	TRANSLATION
							YES NO
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CA						
	CB						
	CC						
EXAMINER <i>Paul Prebilit</i>				DATE CONSIDERED <i>12-14-01</i>			
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FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			ATTORNEY DOCKET NO: S63.2-7076			App. No: 09/122,431		
APPLICANT: Brian J. Brown et al.			FILING DATE: July 24, 1998			Group: 3738		
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS				
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
PAP	AA	5389106	2/14/95	Tower	606	198	10/29/93	
	AB							
	AC							
	AD							
	AE							
	AF							
	AJ							
	AK							
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION								
DOCUMENT NUMBER	PUBLIC ATION DATE	COUNTRY OF PATENT OFFICE			CLASS	SUBCLASS	TRANSLATION	
							YES	NO
BA								
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
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FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTY DOCKET NO: 563.2-5605		Serial No: 08/511,076		
				APPLICANT: Brian J. Brown, Michael L. Davis				
				FILING DATE: August 3, 1995		Group: 3308		
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS				
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
PB	AA	4,553,545	11/19/1985	Maass et al.				
	AB	4,733,665	03/29/1988	Palmaz				
	AC	4,739,762	04/26/1988	Palmaz				
	AD	4,776,337	10/11/1988	Palmaz				
	AE	4,830,003	05/16/1989	Wolff et al.				
	AF	4,856,516	08/15/1989	Hillstead				
	AG	4,886,062	12/12/1989	Wiktor				
	AH	4,913,141	04/03/1990	Hillstead				
	AI	4,994,071	02/19/1991	MacGregor				
	AJ	5,019,090	05/28/1991	Pinchuk				
✓	AK	5,035,706	07/30/1991	Gianturco et al.				
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION								
		DOCUMENT NUMBER	PUBLICATI ON DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
PB	BA	0 606 165 A1	07/13/1994	EPO			X	
	BB	0 540 290 A2	05/05/1993	EPO			X	
	BC	0 364 787 B1	04/25/1990	EPO			X	
	BD	WO 94/17754	08/18/1994	PCT			X	
	✓	BE	0 541 443 A1	05/12/1993	EPO			X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
PB	CA	Manufacturing Processes for Engineering Materials, by Serope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.						
	CB	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, CIRCULATION, Vol. 79, No. 2, February 1989, pp. 445-457.						
	✓	CC	The Self-Expanding Mesh Stent, by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.					
EXAMINER Paul Prebilic				DATE CONSIDERED 12-14-01				
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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-5605		Serial No: 08/511,076			
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REFERENCE DESIGNATION U.S. PATENT DOCUMENTS								
EXAM 'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
PB	AA	5,059,211	10/22/1991	Stack et al.				
	AB	5,102,417	04/07/1992	Palmaz				
	AC	5,104,404	04/14/1992	Wolff				
	AD	5,133,732	07/28/1992	Wiktor				
	AE	5,135,536	08/04/1992	Hillstead				
	AF	5,195,984	03/23/1993	Schatz				
	AG	5,197,978	03/30/1993	Hess				
	AH	5,282,823	02/01/1994	Schwartz et al.				
	AI	5,292,331	03/08/1994	Boneau				
	AJ	5,304,200	04/19/1994	Spaulding				
	AK	5,449,373	09/12/1995	Pinchasik et al.				
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
PBP	AL	6-4175	03/06/1994	Japan				
	AM							
	AN							
	AO							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
PBP	AR	Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995.						
	AS	ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421						
	AT	Cambridge Dictionary of Science and Technology, Cambridge University Pressp. 128						
EXAMINER		Paul Prebilit		DATE CONSIDERED		12-14-01		
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Serial No: 08/511,076

INFORMATION DISCLOSURE
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APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM 'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AB	AA	3,657,744	04/25/1972	Ersek			
	AB	4,141,364	02/27/1979	Schultze			
	AC	4,681,110	07/21/1987	Wiktor			
	AD	4,693,721	09/15/1987	Ducheyne			
	AE	4,786,507	09/06/1988	Fischell et al.			
	AF	4,769,029	09/06/1988	Patel			
	AG	4,787,899	11/29/1988	Lazarus			
	AH	4,830,003	05/16/1989	Wolff et al.			
	AI	4,836,816	08/15/1989	Hillstead			
	AJ	4,922,905	05/08/1990	Strecker			
	AK	4,950,227	08/21/1990	Savin et al.			
	AL	4,950,258	08/21/1990	Kawai et al.			
	AM	4,994,071	02/19/1991	MacGregor			
	AN	5,015,253	05/14/1991	MacGregor			
	AO	5,037,392	08/06/1991	Hillstead			
	AP	5,064,435	11/12/1991	Porter			
	AQ	5,092,877	03/03/1992	Pinchuk			
	AR	5,104,399	04/14/1992	Lazarus			
	AS	5,108,415	04/28/1992	Pinchuk et al.			
	AT	5,108,417	04/28/1992	Sawyer			
	AU	5,122,154	06/16/1992	Rhodes			
	AV	5,139,480	08/18/1992	Hickle et al.			
	AW	5,147,385	09/15/1992	Beck et al.			
	AX	5,147,400	09/15/1992	Kaplan et al.			
	AY	5,158,548	10/27/1992	Lau et al.			

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EXAM 'S ENT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PBP	AA	5,217,483	06/08/1993	Tower			
	AB	5,163,952	11/17/1992	Froix			
	AC	5,226,913	07/13/1993	Pinchuk			
	AD	5,282,824	02/01/1994	Gianturco			
	AE	5,344,425	09/06/1994	Sawyer			
	AF	5,354,308	10/11/1994	Simon et al.			
	AG	5,354,309	10/11/1994	Schnepp-Pesch et al.			
	AH	5,383,892	01/24/1995	Cardon et al.			
	AI	5,405,377	04/11/1995	Cragg			
	AJ	2,836,181	05/27/1958	J.S. Tapp			
	AK	3,509,883	05/05/1970	Dibelius			
	AL	3,526,228	09/01/1970	Lyng			
	AM	4,300,244	11/17/1981	Bokros			
	AN	4,319,363	03/16/1982	Ketharanathan			
	AO	4,441,215	04/10/1984	Kaster			
	AP	4,470,407	09/11/1984	Hussein			
	AQ	4,550,447	11/05/1985	Seiler, Jr. et al.			
	AR	4,740,207	04/26/1988	Kreamer			
	AS	4,760,849	08/02/1988	Kropf			
	AT	4,762,128	08/09/1988	Rosenbluth			
	AU	4,771,773	09/20/1988	Kropf			
	AV	4,795,458	01/03/1989	Regan			
	AW	4,820,298	04/11/1989	Leveen et al.			
	AX	4,877,030	10/31/1989	Beck et al.			
✓	AY	3,868,956	04/04/1975	Alfidi et al.			
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PBP	AA	4,140,126	02/20/1979	Choudhury			
	AB	4,503,569	03/12/1985	Dotter			
	AC	4,512,338	04/23/1985	Balko et al.			
	AD	4,553,545	11/19/1985	Maass et al.			
	AE	4,560,374	12/24/1985	Hammerslag			
	AF	4,647,416	03/03/1987	Seiler, Jr. et al.			
	AG	4,649,922	03/17/1987	Wiktor			
	AH	4,655,771	04/07/1987	Wallsten			
	AI	4,665,918	05/19/1987	Garza et al.			
	AJ	4,795,458	01/03/1989	Regan			
	AK	4,800,882	01/31/1989	Gianturco			
	AL	4,848,343	07/18/1989	Wallsten et al.			
	AM	4,872,874	10/10/1989	Taheri			
	AN	4,878,906	11/07/1989	Lindemann et al.			
	AO	3,105,492	10/01/1963	Jeckel			
	AP	3,272,204	09/13/1966	Artandi et al.			
	AQ	3,490,975	01/20/1970	Lightwood et al.			
	AR	3,562,820	02/16/1971	Braun			
	AS	3,635,215	01/18/1972	Shea et al.			
	AT	3,771,526	11/13/1973	Rudle			
	AU	3,993,078	11/23/1976	Bergentz et al.			
	AV	4,078,167	03/07/1978	Banas et al.			
	AW	4,127,761	11/28/1978	Pauley et al.			
	AX	4,130,904	12/26/1978	Whalen			
✓	AY	4,164,045	09/14/1979	Bokros et al.			
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REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM 'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
P61	AA	4,214,587	07/29/1980	Sakura, Jr.			
	AB	4,313,231	02/02/1982	Koyamada			
	AC	4,425,908	01/17/1984	Simon			
	AD	4,501,264	02/26/1985	Rockey			
	AE	4,535,770	08/20/1985	Lemole			
	AF	4,580,568	04/08/1986	Gianturco			
	AG	4,597,389	07/01/1986	Ibrahim et al.			
	AH	4,655,776	04/07/1987	Lesinski			
	AI	4,795,458	01/03/1989	Regan			
	AJ	4,795,465	01/03/1989	Marten			
	AK	4,842,575	06/27/1989	Hoffman, Jr. et al.			
	AL	4,851,009	07/25/1989	Pinchuk			
	AM						
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
CA	Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., JOURNAL OF CLINICAL ENGINEERING, Vol. 11, No. 4, July-August 1986, pp. 291-296.		
CB	Self-expanding Stainless Steel Biliary Stents ¹ , By Harold G. Coons, MD, RADIOLOGY 1989, Vol. 170, No. 3, Part 2, pp. 979-983.		
CC	Technical Note Entitled Modifications of Gianturco Expandable Wire Stents, By Barry T. Uchida et al., AJR, Vol. 150, May 1988, pp. 1185-1187.		
CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™.		
CE	Expandable Biliary Endoprosthesis: An Experimental Study, By Carrasco et al., AJR, Vol. 145, December 1985, pp. 1279-1282.		
CF	Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial ¹ , By Irving, et al., Interventional Radiology, Vol. 172, No. 2, August 1989, pp. 321-326.		
CG	Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications ¹ , Work In Progress, By Wallace et al., RADIOLOGY, February 1986, pp. 309-312.		
CH	Brochure Entitled AVE MICRO STENT™, Instructions for Use, By Applied Fascular Engineering, Inc., pp. 1-15.		
CI	Brochure Entitled MICRO STENT™, By Applied Vascular Engineering, Inc.		
CJ			
CK			
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CM			
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CP			
CQ			
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